

State of California
Business, Transportation and Housing Agency
Department of Transportation

PROGRAM STATUS
AB 1012 Quarterly Unobligated
Balance Report
Information Item

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CTC Meeting: December 12-13, 2001

Agenda Item: 3.5

Original Signed By:
ROBERT L. GARCIA
Chief Financial Officer
December 1, 2001

**QUARTERLY UNOBLIGATED BALANCE
REPORT PURSUANT TO AB 1012 "USE IT OR LOSE IT"**

BACKGROUND

AB 1012 was enacted October 10, 1999 with a goal of improving the delivery of transportation projects. The legislation states that regional agency Congestion Mitigation and Air Quality Improvement (CMAQ) and Regional Surface Transportation Program (RSTP) funds that are not obligated within the first three years of federal eligibility are subject to reprogramming by the California Transportation Commission in the fourth year. In addition, the Department is required to submit quarterly progress reports on impacted fund balances to the Commission.

UPDATED AB 1012 UNOBLIGATED BALANCE REPORT

The annual notice to regional agencies for the second cycle of AB 1012 "use it or lose it" provisions was released on December 5, 2000. At that time, second cycle RSTP, CMAQ, and Regional Transportation Enhancement Activity (TEA) funds subject to potential reprogramming on December 5, 2001 totaled approximately \$276.9 million.

The regional agencies are continuing to make great progress in reducing RSTP, CMAQ and Regional TEA balances. Based upon September 30, 2001 balance reports, total funds subject to reprogramming for the second cycle of AB 1012 are approximately \$50.1 million. This is made up of approximately \$9.5 million in CMAQ funds for five separate agencies, \$28.5 million in RSTP funds for two agencies, and \$12.1 million in Regional TEA funds for 19 agencies. This is an overall reduction of \$226.8 million from the initial second cycle notification. Thirteen regional agencies have submitted extension requests for approximately \$30 million for Commission consideration in December (see Item 2.8f.). Additional requests will be presented at the January 2002 Commission meeting.

Information on RSTP, CMAQ and Regional TEA balances as of September 30, 2001, by region, is attached.

ATTACHMENTS

APPORTIONMENT STATUS REPORT
as of September 30, 2001
Congestion Mitigation and Air Quality (CMAQ)

<u>Region</u>	<u>CMAQ Unobligated 9/30/2001 Delivery Balance ¹</u>	<u>CMAQ Amount Subject to AB 1012 Reprogramming 12/05/2001 ²</u>
Butte	1,413,070	378,112
Fresno	15,196,065	-
Kern	3,973,027	-
Kings	-	-
Los Angeles	3,324,803	-
Madera	-	-
Merced	413,718	-
Monterey	3,145,388	-
Orange	56,721,075	-
Riverside	42,146,662	7,824,606
Sacramento (SACOG)	(5,745,650)	-
San Benito	743,409	214,663
San Bernardino	36,133,117	-
San Diego	(5,720,427)	-
S.F. Bay Area (MTC)	(14,430,147)	-
San Joaquin	6,801,545	-
San Luis Obispo	-	-
Santa Barbara	5,784,136	-
Santa Cruz	591,235	-
Stanislaus	2,888,720	-
Tahoe	807,009	246,398
Tulare	7,620,768	879,419
Ventura	8,290,395	-
Rural Counties & SCAG	-	-
TOTAL	\$ 170,097,918	\$9,543,198

¹ Indicates all apportionments not yet obligated

² Reflects bal. 2-years old entering 3rd year.

APPORTIONMENT STATUS REPORT
as of September 30, 2001
Regional Surface Transportation Program (RSTP)

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<u>Region</u>	<u>RSTP Unobligated 9/30/2001 Delivery Balance ¹</u>	<u>RSTP Amount Subject to AB 1012 Reprogramming 12/05/2001 ²</u>
Butte	66,792	-
Fresno	10,910,254	-
Kern	3,064,097	-
Kings	-	-
Los Angeles	116,061,183	-
Madera	-	-
Merced	1,376,593	-
Monterey	1,979,858	-
Orange	68,803,924	24,761,283 ³
Riverside	17,978,323	-
Sacramento (SACOG)	18,268,793	-
San Benito	227,951	-
San Bernardino	33,827	-
San Diego	66,014,034	3,732,935
S.F. Bay Area (MTC)	(1,662,893)	-
San Joaquin	1,398,024	-
San Luis Obispo	1,474,063	-
Santa Barbara	2,921,012	-
Santa Cruz	(239,999)	-
Stanislaus	3,230,395	-
Tahoe	307,872	-
Tulare	-	-
Ventura	6,730,113	-
Rural Counties & SCAG	3,645,268	-
TOTAL	322,589,484	\$28,494,218

¹ Indicates all apportionments not yet obligated.

² Reflects bal. 2-years old entering 3rd year.

³ Orange County's balance is a result of State use of Orange County CMAQ funds.
Repayment was made with RSTP funds.

APPORTIONMENT STATUS REPORT

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as of September 30, 2001

Regional Transportation Enhancement Activities (TEA)

<u>Region</u>	<u>R-TEA Unobligated 9/30/2001 Delivery Balance ¹</u>	<u>R-TEA Amount Subject to AB 1012 Reprogramming 12/05/2001 ²</u>	<u>R-TEA Amount Subject to Federal Lapse 9/30/2001</u>
Butte	-	-	0
Fresno	2,128,623	-	0
Kern	1,467,221	-	0
Kings	123,719	-	0
Los Angeles	27,458,719	4,820,552	0
Madera	-	-	0
Merced	-	-	0
Monterey	1,553,333	288,924	0
Orange	5,228,404	-	0
Riverside	5,777,473	1,557,770	0
Sacramento (SACOG)	3,167,524	-	0
San Benito	278,892	67,719	0
San Bernardino	5,628,683	-	0
San Diego	6,291,269	-	0
S.F. Bay Area (MTC)	18,137,544	743,877	0
San Joaquin	2,173,944	613,590	0
San Luis Obispo	821,838	-	0
Santa Barbara	2,053,053	557,011	0
Santa Cruz	571,272	-	0
Stanislaus	471,081	-	0
Tahoe	322,980	146,000	66,000
Tulare	2,427,342	964,586	301,586
Ventura	702,449	-	0
Rural Counties & SCAG	8,204,806	2,325,516	616,561
TOTAL	\$94,990,169	\$12,085,545	\$984,147

¹ Indicates all apportionments not yet obligated

² Reflects bal. 2-years old or older.

as of September 30, 2001

Regional Surface Transportation Program (RSTP) for Rural Counties

<u>Region</u>	<u>RSTP Unobligated 9/30/2001 Delivery Balance ¹</u>	<u>RSTP Amount Subject to AB 1012 Reprogramming 12/05/2001 ²</u>
Rural County Information:		
Del Norte	-	-
Humboldt	-	-
Lake	-	-
Mendocino	-	-
Lassen	-	-
Modoc	-	-
Plumas	-	-
Shasta	59,042	-
Siskiyou	-	-
Tehama	-	-
Trinity	-	-
Colusa	11,064	-
El Dorado	1,300,186	-
Glenn	51,439	-
Nevada	-	-
Placer	-	-
Sierra	(771)	-
Inyo	-	-
Mono	-	-
Alpine	-	-
Amador	-	-
Calaveras	-	-
Mariposa	-	-
Tuolumne	-	-
Imperial (SCAG)	2,224,308	-
Rural Combined Totals:	\$3,645,268	\$0

¹ Indicates all apportionments not yet obligated

² Reflects bal. 2-years old entering 3rd year.

as of September 30, 2001

Regional Transportation Enhancement Activities (TEA) for Rural Counties

Region	R-TEA Unobligated 9/30/2001 Delivery Balance ¹	R-TEA Amount Subject to AB 1012 Reprogramming 12/05/2001 ²	R-TEA Amount Subject to Federal Lapse 9/30/2001
Rural County Information:			
Del Norte	284,474	115,672	39,672
Humboldt	1,136,345	431,516	112,516
Lake	42,946	-	0
Mendocino	(108,026)	-	0
Lassen	369,230	-	0
Modoc	-	-	0
Plumas	384,775	120,000	0
Shasta	30,683	-	0
Siskiyou	888,608	365,973	128,973
Tehama	-	-	0
Trinity	(381,330)	-	0
Colusa	135,377	-	0
El Dorado	265,219	-	0
Glenn	-	-	0
Nevada	33,735	-	0
Placer	231,389	-	0
Sierra	163,563	40,700	0
Inyo	1,682,499	755,400	335,400
Mono	930,241	251,000	0
Alpine	99,671	-	0
Amador	246,988	20,000	0
Calaveras	-	-	0
Mariposa	190,715	47,582	0
Tuolumne	304,998	-	0
Imperial (SCAG)	1,272,706	177,673	0
Rural Combined Totals:	\$8,204,806	\$2,325,516	\$616,561

¹ Indicates all apportionments not yet obligated

² Reflects bal. 2-years old or older.